

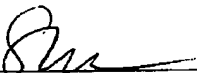
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TO:	Examiner Marvich ART UNIT 1636	FROM:	SHELLY GUEST CERMAK
FAX:	571.273.8300	REF. NO:	US-1420
VOICE:		PAGES	8 (incl. this sheet)
App. No.:	09/897,988	DATE:	November 7, 2005

The undersigned hereby certifies that the following document(s) is (are) being transmitted by telefacsimile to the United States Patent and Trademark Office at the above "FAX" number, on the above DATE:

- 1) This Facsimile Cover Sheet (1 pg)
- 2) Amendment Transmittal Cover Sheet (2 pp)
- 3) Amendment and Response Under 37 C.F.R. §1.116 (5 pp)



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Registration Number 39,571

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November 7, 2005

Box Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA. 22313-1450

In re application of: Nakai et al.

Application. No.: 09/897,988

Filing Date: July 5, 2001

Atty. Docket No. US-1420

Title: METHOD FOR PRODUCING SUBSTANCE UTILIZING
MICROORGANISM

Sir:

Transmitted herewith is an Amendment in the above-identified application. Please find enclosed:

- ☒ Amendment and Request for Reconsideration: 5 pages.
☐ Petition for Extension of time from the Office Action, mailed , months to :
 pages
☐ Information Disclosure Statement: pages.
☐ PTO-1449: pages.
☐ cited references.
☐ PTO-2038 Credit Card Payment Form (fee calculated as shown below): pages.
☐ Other:
☐ A fee is required, as calculated below:

	(Col. 1)		(Col. 2)	(Col. 3)
	<i>Claims Remaining After Amendment</i>		<i>Highest No. Previously Paid For</i>	<i>Extra</i>
<i>Total</i>		minus	20	
<i>Indep.</i>		minus	3	
<input type="checkbox"/> First Presentation of Multiple Dependent Claims				

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x \$25 =	\$	or	x \$50 =	\$
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* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

U.S. Patent and Trademark Office

Page 2 of 2

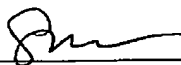
7 November 2005

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

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☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,


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Date: November 7, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NAKAI et al.

Application No.: 09/897,988

Filing Date: July 5, 2001

For: METHOD FOR PRODUCING
SUBSTANCE UTILIZING
MICROORGANISM

Art Unit: 1636

Examiner: Marvich

Attorney Ref. No.: US-1420

VIA FACSIMILE TO: 571.273.8300

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.116

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated August 9, 2005, which set a 3-month shortened statutory period for response thereto, please amend the above-captioned patent application as follows.

Amendments to the claims begin on page 2.

Remarks begin on page 4.